

SELB 940<sup>25</sup>

FOR IMMEDIATE RELEASE

REGISTRATION RECORD  
SECURITIES ACT OF 1933  
(TRUST INDENTURE ACT OF 1939)  
JANUARY 30, 1940

INDEX

<u>FILE NO.</u>	<u>ISSUER NAME</u>
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4285	GRAND UNION COMPANY
4057	INVESTORS SYNDICATE
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## Foreword

This report of registration events occurring under the Securities Act of 1933 and corresponding data for events under the Trust Indenture Act of 1939, is issued daily by the Securities and Exchange Commission. Registration events, as they occur, are reported under the following headings:

### PART I - REGISTRATION STATEMENTS:

1. REGISTRATION STATEMENTS FILED
2. AMENDMENTS FILED
3. REGISTRATION STATEMENTS FULLY EFFECTIVE
4. REGISTRATION STATEMENTS EFFECTIVE BUT UNDER NOTICE OF DEFICIENCIES, OR OTHERWISE NOT FULLY EFFECTIVE
5. POST EFFECTIVE AMENDMENTS EFFECTIVE
6. SUSPENSION DATA (INCLUDING HEARINGS ANNOUNCED)
7. WITHDRAWAL DATA
8. ANNUAL REPORTS FILED (MD FORMS)
9. SUPPLEMENTAL DATA (NOT FILED AS PART OF REGISTRATION STATEMENT)
10. OTHER ACTIONS

### PART II - TRUST INDENTURE DATA

Trust Indenture Data are shown, as far as applicable, under the same headings as in Part I.

If material pursuant to the Trust Indenture Act of 1939 is included in the Registration Statement, reference is made (in the Part I entry) to the Trust Indenture Data file number (22- ) and details will be found in Part II of the same edition of the report. Data in Part II show cross reference to the registration statement file number (2- ), if the data are filed as a part of a registration statement.

**INSTRUCTIONS** - The various items of information which appear in the report are arranged according to a system of indentations which parallel the indentations in the column headings. A HANDBOOK on registration procedure illustrating the method used in reporting registration events, and containing digests of the principal registration forms,, may be obtained from the Commission on request.

**INDEX** - An alphabetical list of the names of all security issuers included in this report appears on the cover page. A cumulative index to registration statements appearing in the report is issued periodically.

**ABBREVIATIONS** - Following are some of the more frequently used abbreviations:

- AMEND - amendment  
CONS - consent; i.e., consents of experts required to be furnished in registration statements  
EX - exhibits (to registration statement)  
FACE - facing sheets; i.e., cover page of registration statement  
FS - financial statements  
PR - prospectus  
RS - registration statement

**PHOTOCOPIES** - The number of pages contained in each document filed with the Commission is reported in order to facilitate the placing of orders for photocopies. The Commission supplies photocopies of any document, or any part of any document, on public file. Prices are 10¢ per page for the first 100 pages in any single order, and 7¢ per page for each page over 100 pages. In ordering, please give file number and issuer name of registration statement.

## IMPORTANT

The Securities and Exchange Commission does not pass on the merits of securities registered with it. It does not guarantee any securities, nor can it guarantee the correctness or accuracy of information filed with it in registration statements. The Securities Act of 1933 provides that a registration statement be on file with the Commission and open to public inspection for at least twenty days before a security issue may be offered for public sale in interstate commerce. The Act also requires that a prospectus containing a summary of the data in the registration statement be given to each purchaser of such securities at or before the time of the sale.

REGISTRATION RECORD  
SECURITIES ACT OF 1933

JANUARY 30, 1940

FILE NO.	ISSUER NAME CITY AND STATE INDUSTRY SECURITY TYPE PURPOSE OF ISSUE PRINCIPAL UNDERWRITER RECORD OF ACTION	PRINCIPAL OFFICER TITLE DESCRIPTION OF ISSUE UNDERWRITER	FORM A2 CE TUTTLE PRES MFR OF STAINLESS STEEL \$1 PAR COMMON THRU UNDRWRTR FOR PLANT EXPANSION & IMPROVEMENTS W E HUTTON & CO	UNITS REGISTERED 000 000 000 DATE 00 00 00	AGGREGATE AMOUNT OF PROPOSED CASH OFFERING	PAGES FILED		
						REGIS- TRATION STATE- MENT	PRO- SPECTUS	EXHIBITS
2 -								

1

REGISTRATION STATEMENTS FILED

4309	RUSTLESS IRON AND STEEL CORP BALTIMORE MD MFR OF STAINLESS STEEL \$1 PAR COMMON THRU UNDRWRTR FOR PLANT EXPANSION & IMPROVEMENTS W E HUTTON & CO	A2 CE TUTTLE PRES	40 000					
	REGISTRATION STATEMENT ORIG FILED -		1 30 40			57	32	71

2

AMENDMENTS FILED

4270	ALTA MINES INC OPHIR COLO	A1 H F KLOCK PRES LAST PREVIOUSLY RECORDED ITEM AMENDS TO DEFER EFFECTIVE DATE -	1 11 40			1		
	AMENDS TO DEFER EFFECTIVE DATE -		1 30 40			1		
4263	CUBAN ATLANTIC SUGAR COMPANY NEW YORK NY	A2 LAURENCE A CROSBY PRES LAST PREVIOUSLY RECORDED ITEM AMENDMENT FILED -	1 12 40			21		13
	AMENDMENT FILED - ITEMS 5 7 80 22 44 CONS & EX G		1 30 40			20		1
	AMENDS TO DEFER EFFECTIVE DATE -		1 30 40			2		
4057	INVESTORS SYNDICATE MINNEAPOLIS MINN	A2 J R RIDGWAY JR PRES LAST PREVIOUSLY RECORDED ITEM AMENDS TO DEFER EFFECTIVE DATE -	1 15 40			1		
	AMENDS TO DEFER EFFECTIVE DATE -		1 30 40			1		

3

REGISTRATION STATEMENTS FULLY EFFECTIVE

4285	GRAND UNION COMPANY NEW YORK NY	A2 J SPENCER WEED PRES RETAIL FOOD & GROCERY CHAIN ETC REGISTRATION STATEMENT ORIG FILED - AMENDMENT FILED -	1 11 40 1 25 40			49 6	25 24	27
	R S EFFECTIVE 4 30 PM E S TIME ON -		1 30 40					
	CAPITAL NPV RES FOR WTS TO BE ISSUED TO HLDRS OF OLD COM STK AT 10 00 IN EXCH FOR DIVIDEND ARREARAGE CTFS ON BASIS 1 SH CAP FOR EACH 10 00 PRIN AMT CTF BALANCE UNSUB AND UNECH MAY BE OFFERED AT 10 00 THRU UNDRWRTRS & TERMS BY POST EFFECTIVE AMENDMENT FOR PAYMENT OF DIVIDEND ARREARAGE CERTIFICATES & FOR WORKING CAPITAL NO UNDERWRITER NAMED		28 962 86 955	289 620				

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FILE NO.	ISSUER NAME CITY AND STATE INDUSTRY SECURITY PURPOSE RECORD OF ACTION	PRINCIPAL OFFICER TITLE DESCRIPTION OF ISSUE UNDERWRITER	FORM A2 000 000 000 DATE 00 00 00	UNITS REGISTERED 000 000 000 AGGREGATE AMOUNT OF PROPOSED CASH OFFERING	PAGES FILED REGIS- TRATION STATE- MENT PRO- SPECTUS EXHIBITS
2 -					

## 3 REGISTRATION STATEMENTS FULLY EFFECTIVE CONTINUED

4278	LOUISVILLE RAILWAY COMPANY LOUISVILLE KY FRANK H MILLER PRES STREET RAILWAY & BUS TRANSPORTATION REGISTRATION STATEMENT DRIG FILED - LAST PREVIOUSLY RECORDED ITEM AMENDMENT FILED -	A2 1 4 40 1 26 40 1 30 40 1 23 40		110 24 76 34 27 42
	REGISTRATION STATEMNT EFFECTIVE ON - AS OF 4 30 PM E S TIME -			
	CDS FOR 4 1-2 PC 2ND MTG 40YR BDS DUE 1940 4 1-2 PC 2ND MTG 40YR BDS DUE 1940 EXTD TO 1942	\$ 1 300 000 \$ 1 300 000		
	COS TO BE ISSUED TO HLDRS OF 1940 BONDS ACCEPTING DEPOSIT & EXTENSN AGREEMNT LOUISVILLE TR CD WILL PURCHASE MAX OF 1100000 PRIN AMT BDS AT PAR AND DEPOSIT FOR EXTENSION 2 OFFICERS WILL CAUSE 200000 PRIN AMT BDS TO BE DEPDSITED FDR EXTSN UNDER PLAN OF EXTENSION LOUISVILLE TRUST CD MAY BE UNDRWR			
4249	NORTH AMERICAN LIGHT & POWER CO WILMINGTON DEL H C FREEMAN PRES PUBLIC UTILITY HOLDING COMPANY REGISTRATION STATEMENT DRIG FILED - LAST PREVIOUSLY RECORDED ITEM AMENDMENT FILED - PROSPECTUS PER RULE 800B FILED -	A2 11 29 39 1 29 40 1 29 40		104 54 147 33 65 64 9
	REGISTRATION STATEMNT EFFECTIVE ON - AS OF 4 30 PM E S TIME -	1 30 40 12 18 39		
	\$1 PAR COMMON TD COMMON STKHLRS OF RECORD AS OF MAR 5 1935 AT 1 00 AS OF MAR 5 1936 AT 3 00 UNSUB TO NORTH AMERICAN CO WITH PROCEEDS ABOVE OFFERS IN EXCH FDR 4000000 PRIN AMT 5PC NTS OF REGISTRANT TO RETIRE 4000000 PRIN AMT 5PC NTS ND UNDWRITER	2 000 000 666 667	2 000 000 2 000 001	

## 5 POST EFFECTIVE AMENDMENTS EFFECTIVE

3032	SAN NAP PAK MFG CO INC NEW YORK NY NAT E HEIT PRES RS EFFECTIVE AS OF APR 19 1937 ON - AMENDMENT OF DEC 7 1939 EFFECTIVE - AMENDMENT OF JAN 23 1940 EFFECTIVE -	A2 5 27 37 1 10 40 1 30 40		
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9 SUPPLEMENTAL DATA  
(NOT FILED AS A PART OF REG. STATEMENT)

3574	FIFTH MADISON CORPORATION VT NEW YORK NY WALLACE G ARNOLD ET AL RS EFFECTIVE AS OF JAN 27 1938 ON - PROSPECTUS PER SECTION 10B1 FILED -	F1 1 29 38 1 30 40		41
3988	GENERAL ACCEPTANCE CORPORATION A2 BETHLEHEM PA F REED WILLS PRES LAST PREVIOUSLY RECORDED ITEM SUPPLEMENTAL PROSPECTUS MATL FILED -	12 26 39		48
	PRICE REPORT PER RULE 970 FILED -	1 30 40	1	
4041	KEYSTONE CUSTODIAN FUNDS INC C1 BOSTON MASS SIDNEY L SHOLLEY PRES SUPPLEMENTAL PROSPECTUS MATL FILED - ANNUAL REPORT FILED WITH RS 2-1557 -	8 9 39 12 29 39	90	28
	SUPPLEMENTAL PROSPECTUS MATL FILED -	1 30 40		21
4279	PENNSYLVANIA WATER & POWER CO A2 BALTIMORE MD J A WALLS PRES RS EFFECTIVE 4 30 PM E S TIME ON - PRICE REPORT PER RULE 970 FILED - PROSPECTUS PER RULE 800B FILED -	1 23 40 1 30 40 1 30 40	1	66

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